



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Carter et al.
Serial No.: 09/939,084
Filing Date: August 24, 2001
Group No.: 3671

Attorney Docket #: 16279-14C1
Not. of Allow Date: August 9, 2002
Examiner: Mayo, Tara L.
Confirmation No.: 7186

Title: "DEVICE AND METHOD FOR TRENCHLESS REPLACEMENT OF UNDERGROUND PIPE"

#11
CA
12-2-02

BOX ISSUE FEE
Commissioner for Patents
Washington, D. C. 20231

PATENT ISSUE FEE TRANSMITTAL (37 CFR 1.311)

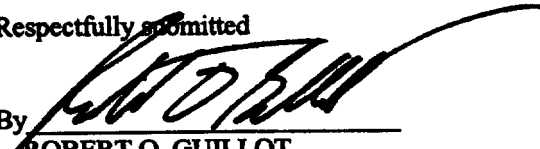
Enclosed are the following:

- ☒ Fee (37 CFR 1.18(a))
Application Status is:
☐ large entity (\$1,280.00)
☒ small entity (\$640.00):
- ☒ Check No. 10337 in the amount of (\$970.00) for the payment of an Issue Fee for a small entity (including publication fee and 10 extra copies of the patent).
- ☒ Letter to Official Draftsman;
- ☒ Part B- Issue Fee Transmittal; and
- ☒ A postcard for return to us as proof of receipt of the referenced documents.
- ☒ Please charge/credit deposit Account No. 08-3240 for any deficiency/overpayment.
- If the examiner has questions regarding this case, he/she is invited to telephone Applicant(s) undersigned attorney at (408) 558-9950.

Dated: November 5, 2002

Respectfully submitted

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1901 S. Bascom Ave., Suite 660
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By 
ROBERT O. GUILLOT
Reg. No. 28,852

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this paper (along with any attachments referred to as being enclosed) is being deposited with the United States Postal Service on November 5, 2002, with sufficient postage as first class mail in the envelope addressed to: Box Issue Fee, Commissioner Of Patents, Washington D.C. 20231.

Dated: November 5, 2002


Patricia Beilmann



UNITED STATES PATENT AND TRADEMARK OFFICE



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NOTICE OF ALLOWANCE AND FEE(S) DUE

32112

08/09/2002

INTELLECTUAL PROPERTY LAW OFFICE
1901 S. BASCOM AVENUE, SUITE 660
CAMPBELL, CA 95008

EXAMINER

MAYO, TARA L

ART UNIT

CLASS-SUBCLASS

3671

405-184000

DATE MAILED: 08/09/2002

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/939,084	08/24/2001	Robert Ward Carter	16279-14C1	7186

TITLE OF INVENTION: DEVICE AND METHOD FOR TRENCHLESS REPLACEMENT OF UNDERGROUND PIPE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$640	\$300	\$940	11/12/2002

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. **THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

B. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☒ Applicant claims SMALL ENTITY status.
See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

Docketed:

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail Box ISSUE FEE**
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Washington, D.C. 20231
Fax (703)746-4000

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32112 7590 08/09/2002

INTELLECTUAL PROPERTY LAW OFFICE
1901 S. BASCOM AVENUE, SUITE 660
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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

Patricia Beilmann (Depositor's name)

Patricia Beilmann (Signature)

November 5, 2002 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/939,084	08/24/2001	Robert Ward Carter	16279-14C1	7186

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nonprovisional	YES	\$640	\$300	\$940	11/12/2002

EXAMINER	ART UNIT	CLASS-SUBCLASS
MAYO, TARA L	3671	405-184000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Robert O. Guillot

Intellectual Property Law
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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

WRB Company, Inc.

Oakland, CA

Please check the appropriate assignee category or categories (will not be printed on the patent)

☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

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☒ A check in the amount of the fee(s) is enclosed.

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(Authorized Signature)

(Date)

Nov. 5, 2002

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